

Computer Networks and ISDN Systems 30 (1998) 1811-1824



Design considerations for the virtual source/virtual destination (VS/VD) feature in the ABR service of ATM networks ¹

Shivkumar Kalyanaraman *, Raj Jain 2, Jianping Jiang, Rohit Goyal 3, Sonia Fahmy 4

Department of Computer and Information Science, The Ohio State University, Columbus, OH 43210-1277, USA

Accepted 3 June 1998

Abstract

The Available Bit Rate (ABR) service in ATM networks uses end-to-end rate-based flow control to allow fair and efficient support of data applications over ATM networks. One of the architectural features in the ABR specification [ATM Forum, ATM Traffic Management Specification Version 4.0, April 1996] is the Virtual Source/Virtual Destination (VS/VD) option. This option allows a switch to divide an end-to-end ABR connection into separately controlled ABR segments by acting like a (virtual) destination on one segment, and like a (virtual) source on the other. The translation and propagation of feedback in the VS/VD switch between the two ABR control segments (called "coupling") is implementation specific. In this paper, we model a VS/VD ATM switch and study the issues in designing the coupling between ABR segments. We identify a number of implementation options for the coupling and show that the choice of the implementation option significantly affects the system performance in terms of (a) the system stability in the steady state, (b) the time to respond to transient changes and converge to the steady state, and (c) the buffer requirements at the switches. © 1998 Published by Elsevier Science B.V. All rights reserved.

Keywords: Asynchronous Transfer Mode (ATM); Available Bit Rate (ABR); Traffic management; Virtual Source/Virtual Destination (VS/VD); Congestion control; Segment-by-segment rate-based flow control

1. Introduction

Asynchronous Transfer Mode (ATM) networks provide multiple classes of service tailored to sup-

port data, voice, and video applications. Of these, the Available Bit Rate (ABR) and the Unspecified Bit Rate (UBR) service classes have been specifically developed to support data applications. Traffic is controlled intelligently in ABR using a rate-based closed-loop end-to-end traffic management framework [1–3]. The network switches monitor available capacity and give feedback to the sources asking them to change their transmission rates. Several switch algorithms have been developed [4–8] to calculate feedback intelligently. The resource management (RM) cells (which carry feedback from the

^{*}Corresponding author. Current address: Department of Electrical, Computer and Systems Engineering, Rensselaer Polytechnic Institute, Troy, NY 12180, USA. E-mail: shivkuma@ecse.rpi.edu.

¹ This research was partially sponsored by Rome Laboratory/C3BC Contract #F30602-96-C-1056.

² E-mail: jain@cis.ohio-state.edu.

³ E-mail: goyal@cis.ohio-state.edu.

⁴ E-mail: fahmy@cis.ohio-state.edu.

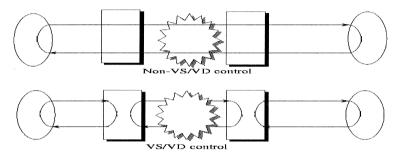


Fig. 1. End-to-End control versus VS/VD control.

switches) travel from the source to the destination and back.

One of the options of the ABR framework is the Virtual Source/Virtual Destination (VS/VD) option. This option allows a switch to divide an ABR connection into separately controlled ABR segments. On one segment, the switch behaves as a destination end system, i.e., it receives data and turns around resource management (RM) cells (which carry rate feedback) to the source end system. On the other segment the switch behaves as a source end system, i.e., it controls the transmission rate of every virtual circuit (VC) and schedules the sending of data and RM cells. We call such a switch a "VS/VD switch". In effect, the end-to-end control is replaced by segment-by-segment control as shown in Fig. 1.

One advantage of the segment-by-segment control is that it isolates different networks from each other. One example is a proprietary network like frame-relay or circuit-switched network between two ABR segments, which allows end-to-end ABR connection setup across the proprietary network and forwards ATM packets between the ABR segments ⁵. Another example is the interface point between a satellite network and a LAN. The gateway switches at the edge of a satellite network can implement VS/VD to isolate downstream workgroup switches from the effects of the long delay satellite paths (like long queues).

A second advantage of segment-by-segment control is that the segments have shorter feedback loops

which can potentially improve performance because feedback is given faster to the sources whenever new traffic bursts are seen.

The VS/VD option requires the implementation of per-VC queueing and scheduling at the switch. In addition to per-VC queueing and scheduling, there is an incremental cost to enforce the (dynamically changing) rates of VCs, and to implement the logic for the source and destination end system rules as prescribed by the ATM Forum [1].

The goal of this study is find answers to the following questions:

- Do VS/VD switches really improve ABR performance?
- What changes to switch algorithms are required to operate in VS/VD environments?
- Are there any side-effects of having multiple control loops in series?
- What are the issues in designing the coupling between the separately controlled segments?

In this paper, we model and study VS/VD switches using the ERICA switch algorithm [8] (an explicit rate (ER) scheme) to calculate rate feedback. Other options are also possible (e.g. 1-bit based (EFCI) or relative rate marking [1]). Explicit rate schemes are known to be more accurate in terms of feedback than the EFCI or relative rate-marking schemes. This feature allows us to better isolate and study the effect of VS/VD from the effects of the switch algorithm itself, and hence our preference for explicit rate schemes in this paper. We describe our switch model and the use of the ERICA algorithm in Sections 2 and 3. The VS/VD design options are listed and evaluated in Sections 4 and 5. The results and future work are summarized in Sections 7 and 8.

⁵ Signaling support for this possibility is yet to be onsidered by the ATM Forum.

2. Switch queue structure

In this section, we first present a simple switch queue model for the non-VS/VD switch and later extend it to a VS/VD switch by introducing per-VC queues. The flow of data, forward RM (FRM) and backward RM (BRM) cells is also closely examined.

2.1. A non-VS / VD switch

A minimal non-VS/VD switch has a separate FIFO queue for each of the different service classes (ABR, UBR, etc.). We refer to these queues as "per-class" queues. The ABR switch rate allocation algorithm is implemented at every ABR class queue. This model of a non-VS/VD switch based network with per-class queues is illustrated in Fig. 2.

Besides the switch, the figure shows a source end system, S, and a destination end system, D, each having per-VC queues to control rates of individual VCs. For example, ABR VCs control their Allowed Cell Rates (ACRs) based upon network feedback. We assume that the source/destination per-VC queues feed into corresponding per-class queues (as shown in the figure) which in turn feed to the link. This assumption is not necessary in practice, but simplifies the presentation of the model. The contention for link access between cells from different per-class queues (at the switch, the source and the destination) is resolved through appropriate scheduling.

2.2. A VS / VD switch

The VS/VD switch implements the source and the destination end system functionality in addition to the normal switch functionality. Therefore, like any source and destination end-system, it requires

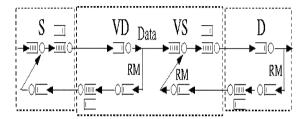


Fig. 3. Per-VC and per-class queues in a VSVD switch.

per-VC queues to control the rates of individual VCs. The switch queue structure is now more similar to the source/destination structure where we have per-VC queues feeding into the per-class queues before each link. This switch queue structure and a unidirectional VC operating on it is shown in Fig. 3.

The VS/VD switch has two parts. The part known as the Virtual Destination (VD) forwards the data cells from the first segment ("previous loop") to the per-VC queue at the Virtual Source (VS) of the second segment ("next loop"). The other part or the Virtual Source (of the second segment) sends out the data cells and generates FRM cells as specified in the source end system rules.

The switch also needs to implement the switch congestion control algorithm and calculate the allocations for VCs depending upon its bottleneck rate. A question which arises is where the rate calculations are done and how the feedback is given to the sources. We postpone the discussion of this question to later sections.

2.3. A VS / VD switch with unidirectional data flow

The actions of the VS/VD switch upon receiving RM cells are as follows. The VD of the previous loop turns around FRM cells as BRM cells to the VS

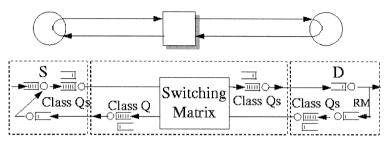


Fig. 2. Per-class queues in a non-VSVD switch.

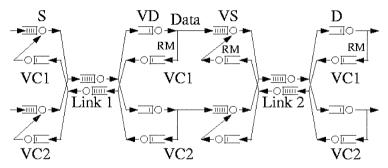


Fig. 4. Multiple unidirectional VCs in a VSVD switch.

on the same segment (as specified in the destination end system rules [2]). Additionally, when the FRM cells are turned around, the switch may decrease the value of the explicit rate (ER) field to account for the bottleneck rate of the next link and the ER from the subsequent ABR segments.

When the VS at the next loop receives a BRM cell, the ACR of the per-VC queue at the VS is updated using the ER field in the BRM (ER of the subsequent ABR segments) as specified in the source end system rules [2]). Additionally, the ER value of the subsequent ABR segments needs to be made known to the VD of the first segment. One way of doing this is for the VD of the first segment to use the ACR of the VC in the VS of the next segment while turning around FRM cells.

The model can be extended to multiple unidirectional VCs in a straightforward way. Fig. 4 shows two unidirectional VCs, VC1 and VC2, between the same source S and destination D which go from

Link1 to Link2 on a VS/VD switch. Observe that there is a separate VS and VD control for each VC. We omit non-ABR queues in this and subsequent figures.

2.4. Bi-directional data flow

Bi-directional flow in a VS/VD switch (Fig. 5) is again a simple extension to the above model. The data on the previous loop VD is forwarded to the next loop VS. FRMs are turned around by the previous loop VD to the previous loop VS. BRMs are processed by the next loop VS to update the corresponding ACRs.

We will discuss the rates and allocations of VCI only. VC1 has two ACRs: ACR_1 in the reverse direction on Link1 and ACR_2 in the forward direction on Link2. Henceforth, the subscript 1 refers to the "previous loop" variables and subscript 2 to the "next loop" variables of VC1.

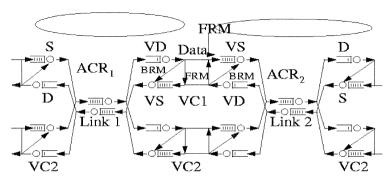


Fig. 5. Multiple bi-directional VCs in a VSVD switch.

3. Basic ERICA switch scheme

We use basic version of the ERICA algorithm [8] for congestion control at the switches. We give a brief overview of the algorithm in this section. Note that the full ERICA algorithm contains several enhancements which account for fairness, queueing delays, and which handles highly variant bursty (ON-OFF) traffic efficiently. A complete description of the algorithm with proofs of fairness and performance is provided in [8].

ERICA first sets a target rate as follows:

Target Rate = Target Utilization \times Link Rate

- VBR Rate - CBR Rate.

It also measures the input rate to the ABR queue and the number of active ABR sources.

To achieve fairness, the VC's Allocation (VA) has a component:

VA_{fairness} = Target Rate/Number of Active VCs.

To achieve efficiency, the VC's Allocation (VA) has a component:

 $VA_{efficiency} = VC$'s Current Cell Rate/ Overload, where Overload = Input Rate/Target Rate.

Finally, the VC's allocation on this link (VAL) is calculated as:

$$VAL = Max\{VA_{efficiency}, VA_{fairness}\}$$

= Function{Input Rate, VC's Current Rate}.

We now describe the points where the ERICA rate calculations are done in a non-VS/VD switch and in a VS/VD switch.

3.1. Rate calculations in a non-VS / VD switch

The non-VS/VD switch calculates the rate (VAL) for sources when the BRMs are processed in the reverse direction and enters it in the BRM field as follows:

 $ER \text{ in } BRM = Min\{ER \text{ in } BRM, VAL\}.$

At the source end system, the ACR is updated as: ACR = Function{ER, VC's Current ACR}.

3.2. Rate calculations in a VS / VD switch

Fig. 6 shows the rate calculations in a VS/VD switch. Specifically, the segment starting at Link2 ("next loop") returns an ER value, ER_2 in the BRM, and the FRM of the first segment ("previous loop") is turned around with an ER value of ER_1 . The ERICA algorithm for the port to Link2 calculates a rate (VAL_2) as: VAL_2 = Function{Input Rate, VC's Current Rate}. The rate calculations at the VS and VD are as follows:

- Destination algorithm for the *previous loop*: ER_1 = Min{ ER_1 , VAL_2 , ACR_2 }.
- Source algorithm for the *next loop*: Optionally, $ER_2 = M \inf \{ ER_2, VAL_2 \}, ACR_2 = Fn \{ ER_2, ACR_2 \}.$

The unknowns in the above equations are the input rate and the VC's current rate. We shall see in the next section that there are several ways of measuring VC rates and input rates, combining the feedback from the next loop, and updating the ACR of the next loop. Note that though different switches may implement different algorithms, many measure quantities such as the VC's current rate and the ABR input rate.

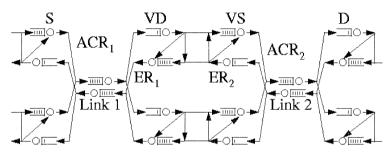


Fig. 6. Rate calculations in VS/VD switches.

4. VS / VD switch design options

In this section, we aim at answering the following questions:

- What is a VC's current rate? (4 options)
- What is the input rate? (2 options)
- Does the congestion control actions at a link affect the next loop or the previous loop? (3 options)
- When is the VC's allocation at the link (VAL) calculated? (3 options)

We will enumerate the 72 (= $4 \times 2 \times 3 \times 3$) option combinations and then study this state space for the best combination.

4.1. Measuring the VC's current rate

There are four methods to measure the VC's current rate:

- The rate of the VC is declared by the source end system of the previous loop in the Current Cell Rate (CCR) field of the FRM cell (FRM1) received by the VD. This declared value can be used as the VC's rate.
- 2. The VS to the next loop declares the CCR value of the FRM sent (FRM2) to be its ACR (ACR₂). This declared value can be used as the VC's rate.
- 3. The actual source rate in the *previous loop* can be measured. This rate is equal to the VC's input rate to the per-VC queue. This measured source rate can be used as the VC's rate.
- 4. The actual source rate in the *next loop* can be measured as the VC's input rate to the per-class queue (from the per-VC queue). This measured value can be used as the VC's rate.

Fig. 7 illustrates where each method is applied (note the position of the numbers in circles).

4.2. Measuring the input rate at the switch

Fig. 8 (note the position of the numbers in circles) shows two methods of estimating the input rate for use in the switch algorithm calculations. These two methods are:

- 1. The input rate is the sum of input rates to the per-VC ABR queues.
- 2. The input rate is the aggregate input rate to the per-class ABR queue.

4.3. Effect of link congestion actions on neighboring links

The link congestion control actions can affect neighboring links. The following actions are possible in response to the link congestion of Link2:

- 1. Change ER_1 . This affects the rate of the *previous loop only*. The change in rate is experienced only after a feedback delay equal to twice the propagation delay of the loop.
- Change ACR₂. This affects the rate of the next loop only. The change in rate is experienced instantaneously.
- 3. Change ER_1 and ACR_2 . This affects both the previous and the next loop. The next loop is affected instantaneously while the previous loop is affected after a feedback delay as in the first case.

4.4. Frequency of updating the allocated rate

The ERICA algorithm in a non-VS/VD switch calculates the allocated rate when a BRM cell is

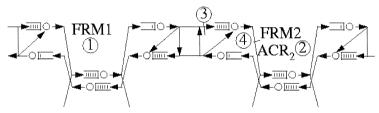


Fig. 7. Four methods to measure the rate of a VC at the VS/VD switch.

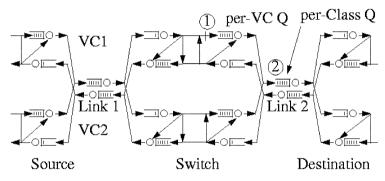


Fig. 8. Two methods to measure the input rate at the VS/VD switch.

processed in a switch. However, in a VS/VD switch, there are three options as shown in Fig. 9:

- Calculate allocated rate on receiving BRM2 only.
 Store the value in a table and use this table value when an FRM is turned around.
- 2. Calculate allocated rate *only when FRM1 is turned* around.
- 3. Calculate allocated rate both when FRM1 is turned around as well as when BRM2 is received.

In the next section, we discuss the various options and present analytical arguments to eliminate certain design combinations.

5. VS / VD switch design options

5.1. VC rate measurement techniques

We have presented four ways of finding the the VC's current rate in Section 4.1, two of them used declared rates and two of them measured the actual source rate. We show that measuring source rates is better than using declared rates for two reasons.

First, the declared VC rate of a loop naively is the minimum of bottleneck rates of *downstream loops only*. It does not consider the bottleneck rates of upstream loops, and may or may not consider the bottleneck rate of the first link of the next loop. Measurement allows better estimation of load when the traffic is not regular.

Second, the actual rate of the VC may be lower than the declared ACR of the VC due to dynamic changes in bottleneck rates upstream of the current switch. The difference in ACR and VC rate will remain *at least* as long as the time required for new feedback from the bottleneck in the path to reach the source plus the time for the new VC rate to be experienced at the switch. The sum of these two delay components is called the "feedback delay." Due to feedback delay, it is possible that the declared rate is a stale value at any point of time. This is especially true in VS/VD switches where per-VC queues may control source rates to values quite different from their declared rates.

Further, the measured source rate can easily be calculated in a VS/VD switch since the necessary

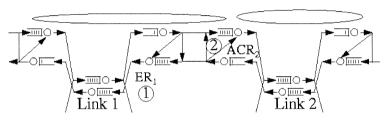


Fig. 9. Three methods to update the allocated rate.

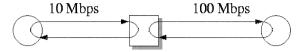


Fig. 10. Two adjacent loops may operate at very different rates for one feedback delay.

quantities (number of cells and time period) are measured as part of one of the source end system rules (SES Rule 5) [1,2,10].

5.2. Input rate measurement techniques

As discussed earlier, the input rate can be measured as the sum of the input rates of VCs to the per-VC queues or the aggregate input rate to the per-class queue. These two rates can be different because the input rate to the per-VC queues is at the previous loop's rate while the input to the per-class queue is related to the next loop's rate. Fig. 10 shows a simple case where two adjacent loops can run at very different rates (10 Mbps and 100Mbps) for one feedback delay.

5.3. Combinations of VC rate and input rate measurement options

Table 1 summarizes the option combinations considering the fact that two adjacent loops may run at different rates. The table shows that four of these combinations may work satisfactorily. The other combinations use inconsistent information and hence may either overallocate rates leading to unconstrained queues or result in unnecessary oscillations.

We can eliminate some more cases as discussed below.

Table 1 does not make any assumptions about the queue lengths at any of the queues (per-VC or per-class). For example, when the queue lengths are close to zero, the actual source rate might be much lower than the declared rate in the FRMs leading to overallocation of rates. This criterion can be used to reject more options.

The performance of one such rejected case is shown in Fig. 11 (corresponding to row 4 in Table 1). The fine print in the figures depicting graphs can be ignored for the purposes of this discussion (they are parameter values specific to the simulator used). The configuration used has two ABR infinite sources and one high priority VBR source contending for the bottleneck link's (LINK1) bandwidth. The VBR has an ON/OFF pattern, where it uses 80% of the link capacity when ON. The ON time and the OFF time are equal (20 ms each). The VS/VD switch overallocates rates when the VBR source is OFF. This leads to ABR queue backlogs when the VBR source comes ON in the next cycle. The queue backlogs are never cleared, and hence the queues diverge. In this case, the fast response of VS/VD is harmful because the rates are overallocated.

In this study, we have not evaluated row 5 of the table (measurement of VC rate at entry to the per-VC queues). Hence, out of the total of 8 combinations, we consider two viable combinations: row 1 and row 8 of the table. Note that since row 8 uses source rate measurement, we expect it to show better performance. This is substantiated by our simulation results presented later in the paper.

Table 1 Viable combinations of VC rate and input rate measurement

Row #	VC rate method	\sum VC rates (Mbps)	Input rate method	Input rate value	Design (YES/NO)
1.	From FRM1	10	Σ per-VC	10	YES
2.	From FRM1	10	per-class	10-100	NO
3.	From FRM2	100	Σ per-VC	10	NO
4.	From FRM2	100	per-class	100	YES
5.	At per-VC queue	10	\sum per-VC	10	YES
6.	At per-VC queue	10	per-class	10-100	NO
7.	At per-class queue	100	\sum per-VC	10	NO
8.	At per-class queue	100	per-class	100	YES

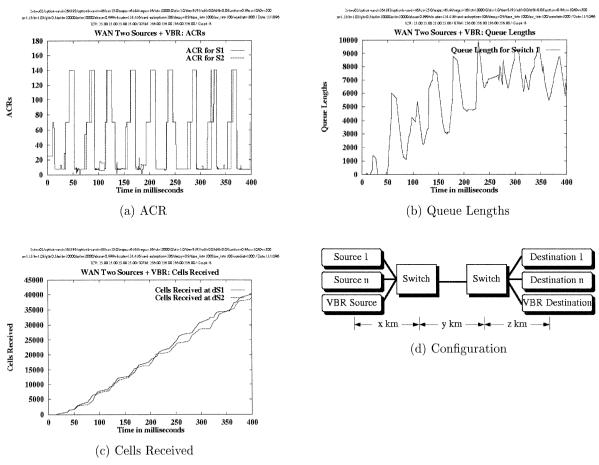


Fig. 11. 2-Source + VBR configuration. Unconstrained queues due to overallocation.

5.4. Effect of link congestion control actions

In a network with non-VS/VD switches only, the bottleneck rate needs to reach the sources before any corresponding load change can be seen in the network. However, a VS/VD switch can enforce the new bottleneck rate immediately (by changing the ACR of the per-VC queue at the VS). This rate enforcement affects the utilization of links in the next loop. Hence, the VS/VD link congestion control actions can affect neighboring loops. We have enumerated three options in an earlier section (Section 4.3).

We note that the second option ("next loop only") does not work because the congestion information is not propagated to the sources of the congestion (as required by the standard [1]). This leaves us with two

alternatives. The "previous loop only" option works because as soon as the previous VS/VD control node receives the feedback they reduce their rate. Within one round trip (from the congested node to the previous VS/VD node), of the feedback, the congestion is alleviated. The third option ("both loops'') may be attractive because, when ACR_2 is updated, the switches in the next loop experience the load change faster. However, care must be taken while giving feedback in both directions. The feedback in the forward direction must allow for the draining of the queues in the congested node. Thus, the downstream nodes must drain at a higher rate than the bottleneck node's rate, and the upstream nodes must drain at a rate lower than the bottleneck node's rate. This allows the bottleneck quenes to drain.

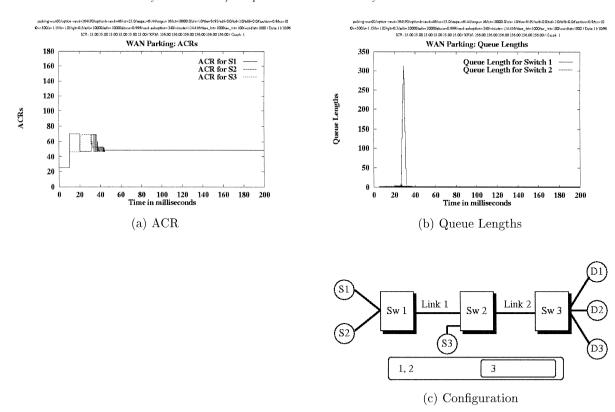


Fig. 12. Parking lot configuration. Illustrates fast convergence of the best VS/VD option.

Fig. 12 shows the fast convergence in a parking lot configuration when such a VS/VD switch is used (corresponds method 4 in Table 2). The fine print on top of the figures can be ignored for the purposes of this discussion (they are parameter values specific to the simulator used). The parking lot configuration (Fig. 12(c)) consists of three VCs con-

tending for the Sw2-Sw3 link bandwidth. Link lengths are 1000 km and link bandwidths are 155.52 Mbps. The target rate of the ERICA algorithm was 90% of link bandwidth i.e., 139.97 Mbps. The round trip time for the S3-D3 VC is shorter than the round trip time for the other two VCs. The optimum allocation by ERICA for each source is 1/3 of the target

Table 2 Summary of viable VS/VD design alternatives

VS/VD option #	VC rate method	Input rate measurement point	Link congestion effect	Allocated rate updated at
A	from FRM1	per-VC	previous loop only	FRM1 only
В	measured at per-class Q	per-class	both loops	FRM1 only
C	from FRM1	per-VC	both loops	FRM1 only
D	measured at per-class Q	per-class	both loops	FRM1 and BRM2
E	from FRM1	per-VC	both loops	BRM2 only
F	measured at per-class Q	per-class	both loops	BRM2 only

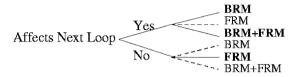


Fig. 13. Link congestion and allocated rate update: viable options.

rate on the Sw2-Sw3 (about 46.7 Mbps). Fig. 12(a) shows that the optimum value is reached at 40 ms. Part (b) of the figure shows that the transient queues are small and that the allocation is fair.

5.5. Link congestion and allocated rate update frequency: viable options

The allocated rate update has three options: (a) update upon BRM receipt (in VS) and enter the value in a table to be used when an FRM is turned around, (b) update upon FRM turnaround (at VD) and no action at VS, (c) update both at FRM (VD) and at BRM (VS) without use of a table.

The last option recomputes the allocated rate a larger number of times, but can potentially allocate rates better because we always use the latest information.

The allocated rate update and the effects of link congestion actions interact as shown in Fig. 13. The figure shows a tree where the first level considers the link congestion (2 options), i.e., whether the next loop is also affected or not. The second level lists the three options for the allocated rate update frequency. The viable options are those highlighted in bold at the leaf level.

Other options are not viable because of the following reasons. In particular, if the link congestion does not affect the next loop, the allocated rate update at the FRM turnaround is all that is required. The allocated rate at the BRM is redundant in this case. Further, if the link congestion affects the next loop, then the allocated rate update has to be done on receiving a BRM, so that ACR can be changed at the VS. This gives us two possibilities as shown in the figure (BRM only, and BRM + FRM).

Hence, we have three viable combinations of link congestion and the allocated rate update frequency. A summary of all viable VS/VD implementation

options (a total of 6, coded as A through F) is listed in Table 2.

The next section evaluates the performance of the viable VS/VD design options through simulation.

6. Performance evaluation of VS/VD design options

6.1. Metrics

We use four metrics to evaluate the performance of these alternatives:

- Response Time: is the time taken to reach near optimal behavior on startup.
- Convergence Time: is the time for rate oscillations to decrease (time to reach the steady state).
- Throughput: Total data transferred per unit time.
- *Maximum Queue*: The maximum queue before convergence.

The difference between response time and convergence time is illustrated in Fig. 14. The following sections present simulation results with respect to the above metrics. Note that we have used greedy (infinite) traffic sources in our simulations. We have studied the algorithmic enhancements in non-VS/VD switches for non-greedy sources in [8]. We expect consistent results for such traffic when the best implementation option (see below) is used.

6.1.1. Response time

Without VS/VD all response times are close to the round-trip delay. With VS/VD, the response times are close to the feedback delay from the bottleneck. Since VS/VD reduces the response time during the first round trip, it is good for long delay paths. The quick response time (10 ms in the parking lot configuration which has a 30 ms round trip time) was illustrated previously in Fig. 12.

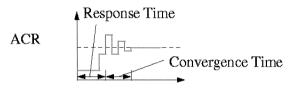


Fig. 14. Response time versus convergence time.

Response time is also important for bursty traffic like TCP file transfer over ATM which "starts up" at the beginning of every active period (when the TCP window increases) after the corresponding idle period [9,10].

6.1.2. Throughput

The number of cells received at the destination is a measure of the throughput achieved. These values are listed in Table 3. The top row is a list of the VS/VD implementation option codes (these codes are explained in Table 2, first column). The final column lists the throughput values for the case when a non-VS/VD switch is used. The 2 source + VBR and the parking lot configurations have been introduced in earlier section.

The upstream bottleneck configuration shown in Fig. 15 has a bottleneck at Sw1 where 15 VCs share the Sw1-Sw2 link. As a result the S15-D15 VC is not capable of utilizing its bandwidth share at the Sw2-Sw3 link. This excess bandwidth needs to be shared equally by the other two VCs. The table entry shows the number of cells received at the destination for either the S16-D16 VC or the S17-D17 VC.

In the 2 source + VBR and the upstream bottleneck configurations, the simulation was run for 400 ms (the destination receives data from time = 15 ms through 400 ms). In the parking lot configuration, the simulation was run for 200 ms.

As we compare the values in each row of the table, we find that, in general, there is *little difference between the alternatives in terms of throughput*. However, there is a slight increase in throughput when VS/VD is used over the case without VS/VD switch.

6.1.3. Convergence time

The convergence time is a measure of how fast the scheme finishes the transient phase and reaches steady state. It is also sometimes called "transient

Table 3
Cells received at the destination per source in Kcells

			-				
VS/VD option # →	A	В	C	D	Е	F	No.
Configuration \downarrow							VS/VD
2 source + VBR	31	31	32.5	34	32	33	30
Parking lot	22	22	23	20.5	23	20.5	19.5
Upstream bottleneck	61	61	61	60	61	61	62

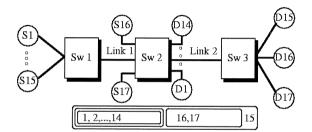


Fig. 15. Upstream bottleneck configuration.

response". The convergence times of the various options are shown in Table 4. The "transient" configuration mentioned in the table has two ABR VCs sharing a bottleneck (like the 2 source + VBR configuration, but without the VBR VC). One of the VCs comes on in the middle of the simulation and remains active for a period of 60 ms before going off.

Observe that the convergence time of VS/VD option D (highlighted) is the best. Recall (see Table 2) that this configuration corresponds to measuring the VC rate at the entry to the per-class queue, input rate measured at the per-class queue, link congestion affecting both the next loop and the previous loop, the allocated rate updated at both FRM1 and BRM2.

6.1.4. Maximum transient queue length

The maximum transient queues gives a measure of how askew the allocations were when compared to the optimal allocation and how soon this was corrected. The maximum transient bottleneck queues are tabulated for various configurations for each VS/VD option and for the case without VS/VD in Table 5. The bottleneck in the parking lot and upstream configurations is the port in switch 2 connecting to Link 2. The bottleneck in the 2 source + VBR and transient configuration is the port in switch 1 connecting to link 1.

Table 4 Convergence time in ms.

VS/VD option # →	A	В	C	D	Е	F	No.
Configuration \downarrow							VS/VD
Transient	50	50	65	20	55	25	60
Parking lot	120	100	170	45	125	50	140
Upstream bottleneck	95	75	75	20	95	20	70

Table 5
Maximum bottleneck queue length in Kcells

$\overline{\text{VS/VD option }\#} \rightarrow$	A	В	C	D	Е	F	No.
Configuration ↓							VS/VD
2 Source + VBR	1.2	1.4	2.7	1.8	2.7	1.8	2.7
Transient	1.4	1.1	1.4	0.025	1.3	1.0	6.0
Parking lot	1.9	1.9	1.4	0.3	3.7	0.35	2.0
Upstream bottleneck	0.025	0.08	0.3	0.005	1.3	0.005	0.19

The table shows that VS/VD option D has very small transient queues in all the configurations and the minimum queues in a majority of cases. This result, combined with the fastest response and near-maximum throughput behavior confirms the choice of option D as the best VS/VD implementation.

Observe that the queues for the VS/VD implementations are in general lesser than or equal to the queues for the case without VS/VD. However, the queues reduce much more if the correct implementation (like option D) is chosen.

7. Conclusions

In summary:

- VS/VD is an option that can be added to switches
 which implement per-VC queueing. The addition
 can potentially yield improved performance in
 terms of response time, convergence time, and
 smaller queues. This is especially useful for
 switches at the edge of satellite networks or
 switches that are attached to links with large
 delay-bandwidth product. The fast response and
 convergence times also help support bursty traffic
 (eg: data traffic) more efficiently.
- The effect of VS/VD depends upon the switch algorithm used and how it is implemented in the VS/VD switch. The convergence time and transient queues can be very different for different VS/VD implementations of the same basic switch algorithm. In such cases the fast response of VS/VD is harmful.
- With VS/VD, ACR and actual rates are very different. The switch cannot rely on the RM cell CCR field. We recommend that the VS/VD switch and in general, switches implementing per-

VC queueing measure the VC's current rate.

- The sum of the input rates to per-VC VS queues is not the same as the input rate to the link. It is best to measure the VC's rate at the output of the VS and the input rate at the entry to the per-class queue.
- On detecting link congestion, the congestion information must be forwarded to the previous loop and may be also forwarded to the next loop. However, in forwarding to the next (downstream) loop, the bottleneck queues should be allowed to drain. As a result, the previous loop must be given feedback lower than the bottleneck's drain rate, and the next hop must drain at a rate higher than the bottleneck's drain rate. This method reduces the convergence time by reducing the number of iterations required in the switch algorithms on the current and downstream switches.
- It is best for the rate allocated to a VC to be calculated both when turning around FRMs at the VD as well as after receiving BRMs at the next VS.

8. Future work

The VS/VD provision in the ABR traffic management framework can potentially improve performance of bursty traffic and reduce the buffer requirements in switches. The VS/VD mechanism achieves this by breaking up a large ABR loop into smaller ABR loops which are separately controlled. However, further study is required in the following areas:

- Effect of VS/VD on buffer requirements in the switch.
- Scheduling issues with VS/VD.
- Effect of different switch algorithms in different control loops, and different control loop lengths.
- Effect of non-ABR clouds and standardization issues involved.
- Effect of using switch algorithms specifically designed to exploit the per-VC queueing policy required in VS/VD implementations.

References

[1] ATM Forum, ATM Traffic Management Specification Version 4.0, April 1996, available as ftp://ftp.atmforum.com/pub/approved-specs/af-tm-0056.000.ps

- [2] R. Jain, S. Kalyanaraman, R. Goyal, S. Fahmy, Source behavior for ATM ABR traffic management: an explanation, IEEE Communications Magazine (November 1996). (All our papers and ATM Forum contributions are available through http://www.cis.ohio-state.edu/~jain.)
- [3] K. Fendick, Evolution of controls for the available bit rate service, IEEE Communications Magazine (November 1996).
- [4] L. Roberts, Enhanced PRCA (Proportional Rate-Control Algorithm), AF-TM 94-0735R1, August 1994.
- [5] K. Siu and T. Tzeng, Intelligent congestion control for ABR service in ATM networks, Computer Communication Review 24 (1995) 81.
- [6] L. Kalampoukas, A. Varma, K.K. Ramakrishnan, An efficient rate allocation algorithm for ATM networks providing max-min fairness, Proc. 6th IFIP Int. Conf. on High Performance Networking, September 1995.
- [7] Y. Afek, Y. Mansour, Z. Ostfeld, Phantom: a simple and effective flow control scheme, Proc. ACM SIGCOMM, August 1996.
- [8] S. Kalyanaraman, R. Jain, S. Fahmy, R. Goyal, B. Vandalore, The ERICA switch algorithm for ABR traffic management in ATM networks, IEEE Transactions on Networking, submitted.
- [9] A. Charny, G. Leeb, M. Clarke, Some observations on source behavior 5 of the traffic management specification, AF-TM 95-0976R1, August 1995.
- [10] S. Kalyanaraman, R. Jain, S. Fahmy, R. Goyal, Use-it-or-lose-it policies for the available bit rate (ABR) service in ATM networks, Computer Networks and ISDN Systems, to appear.

Shivkumar Kalyanaraman is an Assistant Professor at the Department of Electrical, Computer and Systems Engineering at Rensselaer Polytechnic Institute in Troy, NY. He received a B.Tech degree from the Indian Institute of Technology, Madras, India in July 1993, followed by M.S. and and Ph.D. degrees in Computer and Information Sciences at the Ohio State University in 1994 and 1997, respectively. His research interests include multimedia networking, Internet and ATM traffic management, Internet pricing, and performance analysis of distributed systems. He is a co-inventor in two patents (the ERICA and OSU schemes for ATM traffic management), and has co-authored papers, ATM forum contributions and IETF drafts in the field of ATM and Internet traffic management. He is a member of IEEE-CS and ACM. His publications may be accessed through http://www.ecse.rpi.edu/Homepages/shivkuma.

Raj Jain is a Professor of Computer and Information Science at The Ohio State University in Columbus, OH. He is very active in the Traffic Management working group of ATM Forum and has influenced its direction considerably. He received a Ph.D. degree in Computer Science from Harvard in 1978 and is the author of "Art of Computer Systems Performance Analysis," Wiley, 1991, and "FDDI Handbook: High-Speed Networking with Fiber and Other Media," Addison-Wesley, 1994. Dr. Jain is an IEEE Fellow, an ACM Fellow, and is on the Editorial Boards of Computer Networks and ISDN Systems, Computer Communications (UK), Journal of High Speed Networking (USA), and Mobile Networks and Nomadic Applications (NOMAD). For his publications, please see http://www.cis.ohio-state.edu/~iain.

Rohit Goyal is a Ph.D. candidate and a Presidential Fellow with the Department of Computer and Information Science at the Ohio State University, Columbus. He received his Bachelor of Science in Computer Science from Denison University, Granville, and his Master of Science in Computer and Information Science from The Ohio State University. His research interests are in the area of traffic management and quality of service for high speed networks. His recent work has been in buffer management for UBR and GFR, QoS scheduling, feedback control for ABR, and TCP/IP over ATM for terrestrial and satellite networks. His other interests include distributed systems and artificial intellitence. Internet: http://www.cis.ohio-state.edu/~goyal.

Sonia Fahmy received her B.Sc. degree from the American University in Cairo, Egypt, in June 1992, and her M.S. degree from the Ohio State University in March 1996, both in Computer Science. She is currently a Ph.D. student at the Ohio State University. Her main research interests are in the areas of broadband networks, multipoint communication, traffic management, performance analysis, and distributed computing. She is the author of several papers and ATM Forum contributions. She is a student member of the ACM and the IEEE Computer and Communications societies. Internet: http://www.cis.ohio-state.edu/~fahmy.